Substitute Form PTO-1449  
(Modified)U.S. Department of Commerce  
Patent and Trademark OfficeAttorney's Docket No.  
12900-001001Application No.  
09/845,178
**Information Disclosure Statement  
by Applicant**  
(Use several sheets if necessary)

(37 CFR 1.98(b))

Applicant  
Zhonghua Lu et al.

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TC 1700

Filing Date  
April 27, 2001Group Art Unit  
Unknown

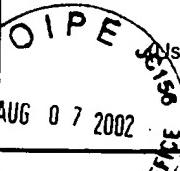
<b>U.S. Patent Documents</b>							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
M3	AA	4,567,031	01/28/86	Riley			
M3	AB	5,393,622	02/28/95	Nitta et al.			
M3	AC	5,900,385	05/04/99	Dahn et al.			

<b>Foreign Patent Documents or Published Foreign Patent Applications</b>							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation Yes      No
M3	AD	0 468 942 B2	12/01/99	EPO			
M3	AE	8-171935	07/02/96	Japan			
M3	AF	2000-223157	08/11/00	Japan			
M3	AG	WO 00/23380	04/27/00	PCT			

<b>Other Documents (include Author, Title, Date, and Place of Publication)</b>		
Examiner Initial	Desig. ID	Document
M3	AH	Armstrong and Bruce, "Synthesis of layered LiMnO <sub>2</sub> as an electrode for rechargeable lithium batteries," <u>Nature</u> , 1996, 381:499-500
M3	AI	Dahn et al., "Structure and Electrochemistry of Li <sub>2</sub> Cr <sub>x</sub> Mn <sub>2-x</sub> O <sub>4</sub> for 1.0 ≤ x ≤ 1.5," <u>J. Electrochem. Soc.</u> , 1998, 145(3):851-859
M3	AJ	Delmas et al., "Soft chemistry in A <sub>x</sub> MO <sub>2</sub> sheet oxides," <u>Revue de Chimie Minérale</u> , 1982, 19:343-351
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M3	AL	Hill and Howard, "Peak Shape Variation in Fixed-Wavelength Neutron Powder Diffraction and its Effect on Structural Parameters Obtained by Rietveld Analysis," <u>J. Appl. Cryst.</u> , 1985, 18:173-180
M3	AM	Naruoka et al., "Development of Li <sub>1-x-y</sub> Co <sub>x</sub> Mn <sub>y</sub> O <sub>2</sub> System Positive Active Material for Lithium Ion Cells," <u>GS News Technical Report</u> , 2000, 59(2):13-17 (Translation attached)
M3	AN	Neudecker et al., "Lithium Manganese Nickel Oxides Li <sub>x</sub> (Mn <sub>y</sub> Ni <sub>1-y</sub> ) <sub>2-x</sub> O <sub>2</sub> ," <u>J. Electrochem. Soc.</u> , 1998, 145(12):4160-4168
M3	AO	Paulsen and Dahn, "O <sub>2</sub> Structure Li <sub>2/3</sub> [Ni <sub>1/3</sub> Mn <sub>2/3</sub> ]O <sub>2</sub> : A New Layered Cathode Material for Rechargeable Lithium Batteries," <u>J. Electrochem. Soc.</u> , 2000, 147(3):861-868
M3	AP	Rossen et al., "Structure and electrochemistry of Li <sub>x</sub> Mn <sub>y</sub> Ni <sub>1-y</sub> O <sub>2</sub> ," <u>Solid State Ionics</u> , 1992, 57:311-318
M3	AQ	Wiles and Young, "A new computer program for Rietveld analysis of X-ray powder diffraction patterns," <u>J. Appl. Cryst.</u> , 1981, 14:149-151

Examiner Signature	M.3.0.0.8	Date Considered	8/25/03
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Page 1 of 1

Filing Date	April 27, 2001
First Named Inventor	Lu, Zhonghua
Art Unit	1745
Examiner Name	Unknown
Attorney Case Number	56373US002

## U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
M2	A1	US- 5,783,332	07/21/98	Amine et al.	
M2	A2	US- 5,858,324	01/12/99	Dahn et al.	
M2	A3	US- 6,030,726	02/29/00	Takeuchi et al.	
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	A6	US-			
	A7	US-			
	A8	US-			
	A9	US-			
	A10	US-			
	A11	US-			

## Foreign Patent Documents

Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (if known)				
M2	B1	PCT	WO 97/26683	07/24/97			
M2	B2	EP	0 813 256	12/17/97			
M2	B3	EP	0 944 125	09/22/99			
	B4						
	B5						
	B6						
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## OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

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Application Number 09/845178

Filing Date April 27, 2001

First Named Inventor Lu, Zhenhua

Art Unit 1745

Examiner Name Unknown

Attorney Case Number 56373US002

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Exam. Init.*	Cite No.	Document Number <small>Doc. Number-(Kind Code if Known)</small>	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
A1	US-				
A2	US-				
A3	US-				
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		Ctry. Code	Number-KindCode (If known)		
M2	B1	JP	2002/042813	02/08/02	
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M2	B3	PCT	WO 02/40404 A1	05/23/02	X
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M2	B5	JP	11-354156	12/24/99	X
	B6				
	B7				

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS		
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\*Examiner: M-ZH

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